	CONTRACT	90 PERCENT
CODE	COUNTY	TARGET
01	ALBANY	\$3,209.00
02	ALLEGANY	\$2,720.00
03	BROOME	\$2,907.00
04	CATTARAUGUS	\$2,759.00
05	CAYUGA	\$2,943.00
06	CHAUTAUGUA	\$2,639.00
07	CHEMUNG	\$3,241.00
08	CHENAGO	\$3,467.00
09	CLINTON	\$2,948.00
10	COLUMBIA	\$2,911.00
11	CORTLAND	\$2,812.00
12	DELAWARE	\$3,133.00
13	DUTCHESS	\$3,154.00
14	ERIB	\$2,981.00
15	ESSEX	\$3,114.00
16	FRANKLIN	\$2,489.00
17	FULTON	\$3,368.00
18	GENESEE	\$2,526.00
19	GREENE	\$3,298.00
20	HAMILTON	\$2,704.00
21	HERKIMER	\$3,273.00
22	JEFFERSON	\$3,285.00
23	LEWIS	\$3,032.00
24	LIVINGSTON	\$2,957.00
25	MADISON	\$3,577.00
26	MONROE	\$3,119.00
27	MONTGOMERY	\$3,558.00
28	NASSAU	\$4,274.00
29	NIAGARA-	\$2,822.00
30	ONEIDA	j \$2,785.00
31	ONONDAGA	\$3,426.00 .
32	ONTARIO	\$3,504.00
33	ORANGE	\$3,608.00
34	ORLEANS	\$2,678.00
35	OSWEGO	\$2,722.00
36	OTSEGO	\$3,167.00
37	PUTNAM	\$2,782.00
38	RENSSELAER	\$2,882.00
39	ROCKLAND	\$4,254.00
40	ST.LAWRENCE	\$2,473.00
41	SARATOGA	\$3,339.00
42	SCHENECTADY	\$3,163.00
43	SCHOHARIE	\$2,930.00
44	SCHUYLER	\$2,820.00
45	SENECA	\$2,641.00
46	STEUBEN	\$3,056.00

CODE	COUNTY	90 PERCENT TARGET
47 48 49 50 51 52 53 54 55 56 57 58	SUFFOLK SULLIVAN TIOGA TOMPKINS ULSTER WARREN WASHINGTON WAYNE WESTCHESTER WYOMING YATES NYC	\$4,147.00 \$3,389.00 \$2,608.00 \$2,749.00 \$3,434.00 \$2,906.00 \$2,851.00 \$3,226.00 \$4,070.00 \$2,582.00 \$3,038.00 \$4,605.00
	,	\$4,605.00
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ATTACHMENT 2	2
PAGE 1	
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NOTICE OF DECISION TO DENY (FISCAL ASSESSMENT) PRIVATE DUTY NURSING SERVICES

Notice Date:	_	Effective Date:	Name and Address of A	Agency/Center or District Offic
Case Number		CIN Number		
(Case Na	me and Address		
			GENERAL TELEPHONE No FO	OR QUESTIONS OR HELP
			OR Agency Conference Fair Hearing Information And Assistance Record Access Legal Assistance Information	n
Office No. Ur	nit No.	Worker No.	Unit or Worker Name	Telephone No.
☐ This is to inf We are takin • The aver	form you t ig this acti age montl al health c	that we intend to deny your ion because: nly cost of your private duty	request for private duty nursing services. request for an increase in private duty nursing y nursing services exceeds ninety percent (90 es in the social services district that is financial	%) of the average monthly cost of
the avera	your fisc age cost of CHCF cost	RHCF services in your dis	monthly cost of your private duty nursing ser strict is \$ The cost of your se	rvices is \$ and 90% of overvices is \$ OVER the
Your cas	e does no	meet any of the EXCEPTI	ION CRITERIA listed in the enclosed attachn	ment.
THE STATE	ЛЕ WHI	CH ALLOWS US TO DO 1	THIS IS SECTION 367-1 OF THE SOCIAL	SERVICES LAW

YOU HAVE THE RIGHT TO APPEAL THIS DECISION BE SURE TO READ THE BACK OF THIS NOTICE ON HOW TO APPEAL THIS DECISION

Regulations require that you immediately notify this department of any changes in needs, income resources, living arrangements

or address.

ATTACHMENT	2
PAGE 2	

PRIVATE DUTY NURSING SERVICES (Fiscal Assessment) - DENIAL

RIGHT TO A CONFERENCE: You may have a conference to review these actions. If your want a conference, you should ask for one as soon as possible. At the conference, if we discover that we made the wrong decision or if, because of information you provide, we determine to change our decision, we will take corrective action and give you a new notice. You may ask for a conference by calling us at the number on the first page of this notice or by sending a written request to us at the address listed at the top of the first page of this notice. This number is used only for asking for a conference. It is not the way you request a fair hearing. If you ask for a conference you are still entitled to a fair hearing. Read below for fair hearing information.

RIGHT TO A FAIR HEARING: If you believe that the above action is wrong, you may request a State fair hearing by:

(1) Telephoning: (PLEASE HAVE THIS NOTICE WITH YOU WHEN YOU CALL)

If you live in: New York City (Manhattan, Bronx, Brooklyn, Queens, Staten Island): (212) 417-6550

If you live in: Cattaraugus, Chautauqua, Erie, Genesee, Niagara, Orleans, Wyoming County: (716) 852-4868

If you live in: Allegany, Chemung, Livingston, Monroe, Ontario, Schuyler, Seneca, Steuben, Wayne or Yates County: (716) 266-4868

If you live in: Broome, Cayuga, Chenango Cortland, Herkimer, Jefferson, Lewis, Madison, Oneida, Onondaga, Oswego, St. Lawrence, Tompkins or Tioga County: (315) 422-4868

If you live in: Albany, Clinton, Columbia, Delaware, Dutchess, Essex, Franklin, Fulton, Greene, Hamilton, Montgomery, Orange, Otsego, Putnam, Rensselaer, Rockland, Saratoga, Schenectady, Schoharie, Sullivan, Ulster, Warren, Washington, or Westchester County: (518) 474-8781.

If you live in: Nassau, Suffolk County: (516) 739-4868

OR

Writing: By sending a copy of this notice co State Office of Temporary & Disability Assi	mpleted to the Fair Hearing Section, New York stance, P.O. Box 1930, Albany, New York 12201. Please ke	ep a copy for you
□ I want a fair hearing. The Agency's act		
<u> </u>		
Signature of Client	Date	
Address		
Phone Number	Case Number	

YOU HAVE 60 DAYS FROM THE DATE OF THIS NOTICE TO REQUEST A FAIR HEARING

If you request a fair hearing, the state will send you a notice informing you of the time and place of the hearing. You have the right to be represented by legal counsel, a relative, a friend or other person, or to represent yourself. At the hearing, you, your attorney or other representative will have the opportunity to question any persons who appear at the hearing. Also, you have a right to bring witnesses to speak in your favor. You should bring to the hearing any documents such as this notice, medical bills, medical verification, letters, etc. that may be helpful in presenting your case.

Legal Assistance: If you need free legal assistance, you may be able to obtain such assistance by contacting your local Legal Aid Society or other legal advocate group. You may locate the nearest Legal Aid Society or advocate group by checking your Yellow Pages under "Lawyers" or by calling the number indicated on the first page of this notice.

ACCESS TO YOUR FILE AND COPIES OF DOCUMENTS

To help you get ready for the hearing, you have a right to look at your case file. If you call or write to us, we will provide you with free copies of the documents from your file which we will give to the hearing officer at the fair hearing. Also, if you call or write to us, we will provide you with free copies of other documents from your file which you think you may need to prepare for your fair hearing. To ask for documents or to find out how to look at your file, call us at the Record Access telephone number listed at the top of page 1 of this notice or write us at the address printed at the top of page 1 of this notice.

If you want copies of documents from your case file, you should ask for them ahead of time. They will be provided to you within a reasonable time before the date of the hearing. Documents will be mailed to you only if you specifically ask that they be mailed.

INFORMATION

If you want more information about your case, how to ask for a fair hearing, how to see your file, or how to get additional copies of documents, call us at the telephone numbers listed at the top of page 1 of this notice.

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ATTACHMENT 2	
PAGE 2	
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PRIVATE DUTY NURSING SERVICES (Fiscal Assessment) - DENIAL

RIGHT TO A CONFERENCE: You may have a conference to review these actions. If your want a conference, you should ask for one as soon as possible. At the conference, if we discover that we made the wrong decision or if, because of information you provide, we determine to change our decision, we will take corrective action and give you a new notice. You may ask for a conference by calling us at the number on the first page of this notice or by sending a written request to us at the address listed at the top of the first page of this notice. This number is used only for asking for a conference. It is not the way you request a fair hearing. If you ask for a conference you are still entitled to a fair hearing. Read below for fair hearing information.

RIGHT TO A FAIR HEARING: If you believe that the above action is wrong, you may request a State fair hearing by:

- 1. TELEPHONE: (212) 417-6550 (Please have this notice with you when you call.)
- 2. FAX: Sending a copy of this notice to (518) 473-6735.
- WALK-IN: Bring a copy of this notice to New York State Office of Temporary and Disability Assistance at 80 Centre Street, 3rd Floor, New York, New York.
- MAIL: By sending a copy of this notice <u>completed</u>, to the Office of Administrative Hearings, New York State Office of Temporary and Disability Assistance, PO Box 1930, Albany, New York 12201. Please keep a copy for yourself.

If you cannot reach the State Office of Temporary and Disability Assistance by phone or fax, please write to request a fair hearing before the deadline for requesting a fair hearing.

ignature of Client_	Date
ddress	

YOU HAVE 60 DAYS FROM THE DATE OF THIS NOTICE TO REQUEST A FAIR HEARING

If you request a fair hearing, the state will send you a notice informing you of the time and place of the hearing. You have the right to be represented by legal counsel, a relative, a friend or other person, or to represent yourself. At the hearing, you, your attorney or other representative will have the opportunity to question any persons who appear at the hearing. Also, you have a right to bring witnesses to speak in your favor. You should bring to the hearing any documents such as this notice, medical bills, medical verification, letters, etc. that may be helpful in presenting your case.

Legal Assistance: If you need free legal assistance, you may be able to obtain such assistance by contacting your local Legal Aid Society or other legal advocate group. You may locate the nearest Legal Aid Society or advocate group by checking your Yellow Pages under "Lawyers" or by calling the number indicated on the first page of this notice.

ACCESS TO YOUR FILE AND COPIES OF DOCUMENTS

To help you get ready for the hearing, you have a right to look at your case file. If you call or write to us, we will provide you with free copies of the documents from your file which we will give to the hearing officer at the fair hearing. Also, if you call or write to us, we will provide you with free copies of other documents from your file which you think you may need to prepare for your fair hearing. To ask for documents or to find out how to look at your file, call us at the Record Access telephone number listed at the top of page 1 of this notice or write us at the address printed at the top of

If you want copies of documents from your case file, you should ask for them ahead of time. They will be provided to you within a reasonable time before the date of the hearing. Documents will be mailed to you only if you specifically ask that they be mailed.

INFORMATION

If you want more information about your case, how to ask for a fair hearing, how to see your file, or how to get additional copies of documents, call us at the telephone numbers listed at the top of page 1 of this notice.

EXCEPTION CRITERIA FOR DENIAL OF PRIVATE DUTY NURSING SERVICES

The Office of Temporary and Disability Assistance official has determined that you do not meet any of the following exception criteria. If you disagree with this determination and you think that you meet at least one of the following exception criteria, you may ask for a State fair hearing. Please refer to the attached notice to learn how you may ask for a State fair hearing.

The exception criteria are as follows:

- 1. The recipient is not medically eligible for residential health care facility services or other long-term care services;
- 2. The private duty nursing services are most cost effective when compared to the cost of other long-term care services appropriate to the recipient's individual needs. In determining the cost-effectiveness of private duty nursing services or private duty nursing services provided in conjunction with home health services, recipients that would otherwise be placed in a general hospital shall have the cost of their private duty nursing services or private duty nursing services provided in conjunction with home health services compared to the cost of care in a general hospital for patients requiring extended medical intervention calculated based on the sum of the payments for diagnosis-related groups for such patients in all hospitals in the region as determined by the department, divided by the sum of the group mean lengths of stay for such diagnosis-related groups for all such hospitals, multiplied by 365 and further divided by 12. In determining the cost-effectiveness of private duty nursing services or private duty nursing services provided in conjunction with home health services, recipients that would otherwise be placed in an intermediate care facility for the developmentally disabled as determined by the Department in consultation with Office of Mental Retardation and Developmental Disabilities;
- 3. That the private duty nursing services recipient is employed; enrolled in an educational program approved by the committee on preschool special education, or the state board of regents; the parent of a dependent child; or permanently disabled and, in the absence of private duty nursing services, would remain hospitalized or require hospitalization on a long-term basis;
- 4. The private duty nursing services are appropriate for the recipient's functional needs and that institutionalization is contraindicated, based on a review of the recipient's medical case history, including a certified statement from the recipient's physician on a form required by the Department describing the potential impact of institutionalization which has been reviewed by a RHCF to determined if institutionalization would result in a diminishing of the recipient's ability to perform the activities of daily living;
- 5. The district determines in the event the recipient lives with someone who would require services in the recipient's absence, the district must determine that the cost for services for both persons, if either or both are institutionalized, would equal or exceed cost for continued private duty nursing services for the recipient and for services to such other person.

	ATTACHMENT 3	•
	PAGE 1	
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NOTICE OF DECISION TO DISCONTINUE PRIVATE DUTY NURSING SERVICES (Fiscal Assessment)

Notice Date:		Effective Date:	Name and Address of A	Igency/Center of	or District Offic
Case Num	ber	CIN Number			
	Case N	ame and Address			
			GENERAL TELEPHONE No FO	OR QUESTIONS OF	R HELP
			OR Agency Conference Fair Hearing Information And Assistance Record Access Legal Assistance Information	on	
Office No.	Unit No.	Worker No.	Unit or Worker Name	Telephone	No.
Bas the	istance. ed on your fir average cost of of RHCF co	of RHCF services in your dist	nonthly cost of your private duty nursing se rict is \$ The cost of your se	rvices is \$ervices is \$	and 90% of OVER the
		•	ON CRITERIA listed in the enclosed attach	ment.	
Based on yo	our current me	edical condition, you must be	referred to the following appropriate long-to	erm care services;	
					_
If you refuse	to participat	e in admission requirements f ity nursing services will <u>STOF</u>	or the RHCFs or refuse to accept the service	es listed above when	they become
THE ST	TATUTE WH	IICH ALLOWS US TO DO T	HIS IS SECTION 367-1 OF THE SOCIAL	SERVICES LAW	
Regulations	require that v	ou immediately notify this de	martment of any changes in needs, income a	resources living area	namento

YOU HAVE THE RIGHT TO APPEAL THIS DECISION BE SURE TO READ THE BACK OF THIS NOTICE ON HOW TO APPEAL THIS DECISION

or address.

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ATTA	CHMENT 3
PAGE	
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PRIVATE DUTY NURSING SERVICES (Fiscal Assessment) - DISCONTINUANCE

RIGHT TO A CONFERENCE: You may have a conference to review these actions. If your want a conference, you should ask for one as soon as possible. At the conference, if we discoverr that we made the wrong decision or if, because of information you provide, we determine to change our decision, we will take corrective action and give you a new notice. You may ask for a conference by calling us at the number on the first page of this notice or by sending a written request to us at the address listed at the top of the first page of this notice. This number is used only for asking for a conference. It is not the way you request a fair hearing. If you ask for a conference you are still entitled to a fair hearing. Read below for fair hearing information.

RIGHT TO A FAIR HEARING: If you believe that the above action is wrong, you may request a State fair hearing by:

TELEPHONE: (212) 417-6550 (Please have this notice with you when you call.)

- FAX: Sending a copy of this notice to (518) 473-6735.

 WALK-IN: Bring a copy of this notice to New York State Office of Temporary and Disability Assistance at 80 Centre Street, 3rd Floor, New York, New York.
- MAIL: By sending a copy of this notice <u>completed</u> to the Office of Administrative Hearings, New York State Office of Temporary and Disability Assistance, PO Box 1930, Albany, New York 12201. Please keep a copy for yourself.

If you cannot reach the State Office of Temporary and Disability Assistance by phone or fax, please write to request a fair hearing before the deadline for requesting a fair hearing.

Date

YOU HAVE 60 DAYS FROM THE DATE OF THIS NOTICE TO REQUEST A FAIR HEARING

If you request a fair hearing, the state will send you a notice informing you of the time and place of the hearing. You have the right to be represented by legal counsel, a relative, a friend or other person, or to represent yourself. At the hearing, you, your attorney or other representative will have the opportunity to question any persons who appear at the hearing. Also, you have a right to bring witnesses to speak in your favor. You should bring to the hearing any documents such as this notice, medical bills, medical verification, letters, etc. that may be helpful in presenting your case.

CONTINUING YOUR BENEFITS: If you request a fair hearing before the effective date stated in this notice, you will continue to receive your PRIVATE DUTY NURSING unchanged until the fair hearing decision is issued. However, if you lose the fair hearing, we may recover the cost of any PRIVATE DUTY NURSING that you should not have received. If you want to avoid this possibility, check the box to indicate that you do not want your aid continued, and send this page along with your hearing request. If you do check the box, the action described above will be taken on the effective date listed on the first page of this notice.

I agree to have the action taken on my PRIVATE DUTY NURSING as described in this notice, prior to the issuance of the fair hearing decision.

Legal Assistance: If you need free legal assistance, you may be able to obtain such assistance by contacting your local Legal Aid Society or other legal advocate group. You may locate the nearest Legal Aid Society or advocate group by checking your Yellow Pages under "Lawyers" or by calling the number indicated on the first page of this notice.

ACCESS TO YOUR FILE AND COPIES OF DOCUMENTS

To help you get ready for the hearing, you have a right to look at your case file. If you call or write to us, we will provide you with free copies of the documents from your file which we will give to the hearing officer at the fair hearing. Also, if you call or write to us, we will provide you with free copies of other documents from your file which you think you may need to prepare for your fair hearing. To ask for documents or to find out how to look at your file, call us at the Record Access telephone number listed at the top of page 1 of this notice or write us at the address printed at the top of page 1 of this notice.

If you want copies of documents from your case file, you should ask for them ahead of time. They will be provided to you within a reasonable time before the date of the hearing. Documents will be mailed to you only if you specifically ask that they be mailed.

If you want more information about your case, how to ask for a fair hearing, how to see your file, or how to get additional copies of documents, call us at the telephone numbers listed at the top of page 1 of this notice.

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ATTACHMENT 3 PAGE 2

PRIVATE DUTY NURSING SERVICES (Fiscal Assessment) - DISCONTINUANCE

RIGHT TO A CONFERENCE: You may have a conference to review these actions. If your want a conference, you should ask for one as soon as possible. At the conference, if we discover that we made the wrong decision or if, because of information you provide, we determine to change our decision, we will take corrective action and give you a new notice. You may ask for a conference by calling us at the number on the first page of this notice or by sending a written request to us at the address listed at the top of the first page of this notice. This number is used only for asking for a conference. It is not the way you request a fair hearing. If you ask for a conference you are still entitled to a fair hearing. Read below for fair hearing information.

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4. MAIL: By sending a copy of this notice completed, to the Office of Administrative Hearings, New York State Office of Temporary and Disability Assistance, PO Box 1930, Albany, New York 12201. Please keep a copy for yourself.

If you cannot reach the State Office of Temporary and Disability Assistance by phone or fax, please write to request a fair hearing before the deadline for requesting a fair hearing.

	
Signature of Client	Date
Address	Date
Phone Number	Case Number

YOU HAVE 60 DAYS FROM THE DATE OF THIS NOTICE TO REQUEST A FAIR HEARING

If you request a fair hearing, the state will send you a notice informing you of the time and place of the hearing. You have the right to be represented by legal counsel, a relative, a friend or other person, or to represent yourself. At the hearing, you, your attorney or other representative will have the opportunity to question any persons who appear at the hearing. Also, you have a right to bring witnesses to speak in your favor. You should bring to the hearing any documents such as this notice, medical bills, medical verification, letters, etc. that may be helpful in presenting your case.

CONTINUING YOUR BENEFITS: If you request a fair hearing before the effective date stated in this notice, you will continue to receive your PRIVATE DUTY NURSING unchanged until the fair hearing decision is issued. However, if you lose the fair hearing, we may recover the cost any PRIVATE DUTY NURSING that you should not have received. If you want to avoid this possibility, check the box to indicate that you do not want your aid continued, and send this page along with your hearing request. If you do check the box, the action described above will be taken on the effective date listed on the first page of this notice.

☐ I agree to have the action taken on my PRIVATE DUTY NURSING as described in this notice, prior to the issuance of the fair hearing decision.

Legal Assistance: If you need free legal assistance, you may be able to obtain such assistance by contacting your local Legal Aid Society or other legal advocate group. You may locate the nearest Legal Aid Society or advocate group by checking your Yellow Pages under "Lawyers" or by calling the number indicated on the first page of this notice.

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If you want copies of documents from your case file, you should ask for them ahead of time. They will be provided to you within a reasonable time before the date of the hearing. Documents will be mailed to you only if you specifically ask that they be mailed.

INFORMATION

If you want more information about your case, how to ask for a fair hearing, how to see your file, or how to get additional copies of documents, call us

ATTACHMENT 3
PAGE 3

EXCEPTION CRITERIA FOR DISCONTINUANCE OF PRIVATE DUTY NURSING SERVICES

The Office of Temporary and Disability Assistance official has determined that you do not meet any of the following exception criteria. If you disagree with this determination and you think that you meet at least one of the following exception criteria, you may ask for a State fair hearing. Please refer to the attached notice to learn how you may ask for a State fair hearing.

The exception criteria are as follows:

- 1. The recipient is not medically eligible for residential health care facility services or other long-term care services;
- 2. The private duty nursing services are most cost effective when compared to the cost of other long-term care services appropriate to the recipient's individual needs. In determining the cost-effectiveness of private duty nursing services or private duty nursing services provided in conjunction with home health services, recipients that would otherwise be placed in a general hospital shall have the cost of their private duty nursing services or private duty nursing services provided in conjunction with home health services compared to the cost of care in a general hospital for patients requiring extended medical intervention calculated based on the sum of the payments for diagnosis-related groups for such patients in all hospitals in the region as determined by the department, divided by the sum of the group mean lengths of stay for such diagnosis-related groups for all such hospitals, multiplied by 365 and further divided by 12. In determining the cost-effectiveness of private duty nursing services or private duty nursing services provided in conjunction with home health services, recipients that would otherwise be placed in an intermediate care facility for the developmentally disabled as determined by the Department in consultation with Office of Mental Retardation and Developmental Disabilities;
- 3. That the private duty nursing services recipient is employed; enrolled in an educational program approved by the committee on preschool special education, or the state board of regents; the parent of a dependent child; or permanently disabled and, in the absence of private duty nursing services, would remain hospitalized or require hospitalization on a long-term basis;
- 4. The private duty nursing services are appropriate for the recipient's functional needs and that institutionalization is contraindicated, based on a review of the recipient's medical case history, including a certified statement from the recipient's physician on a form required by the Department describing the potential impact of institutionalization which has been reviewed by a RHCF to determined if institutionalization would result in a diminishing of the recipient's ability to perform the activities of daily living;
- 5. The district determines in the event the recipient lives with someone who would require services in the recipient's absence, the district must determine that the cost for services for both persons, if either or both are institutionalized, would equal or exceed cost for continued private duty nursing services for the recipient and for services to such other person.

FISCAL ASSESSMENT WORKSHEET ATTACHMENT 4										
	RECIPIENT	S NAME		CLIENT	ID #					
PRIVATE DUTY NURS	DATES TO-FROM	COST PER HOUR/VISIT	HOURS OR VISITS	DAYS PER WK	COST PER WEEK	NUMBER OF WEEKS	ANNUAL COST			
P.C. LEVEL I										
P.C. LEVEL II										
PRIVATE DUTY NURS										
H.H.A. SERVICES										
NURSING SERVICES										
PHYSICAL THERAPY										
SPEECH THERAPY										
OCCUPAT THERAPY										
TOTAL ANNUAL COST DIVIDE BY - 12 12 MONTHS AVERAGE MONTHLY COST 90% RHCF										
AMOUNT OVE	R 90% RHCF			MOUNT UND	er 90% Rhof					

Completed by:	Date:
County:	Phone No. ()

(

NOTICE OF REQUEST/AUTHORIZATION

To:	From:	19.00
Recipi	ecipient Name & Address: Medica	id ID #
()	ofhours/day,days/week at the rate of Assessment is required. Please initiate your Fiscal A completion, report whether services are authorized.	\$ per/hour. Fiscal
()) The above Mediciaid recipient has requested Private Assessment has been completed and services are authorized days/week at the rate of \$ per/hour. Att Worksheet prepared by District office staff. Please c	norized forhours/day, ached is the Fiscal Assessment
()) The above Medicaid recipient has requested Private I Assessment has been completed and services are NO Fiscal Assessment Worksheet and a copy of the Deni	T authorized. Attached is the
Date R	te Request Received :	
This F	is Form Prepared by:	
Title:_	le:	
PRODE	one Number: ()	
Date P	te Prepared:	

AVERAGE MONTELY GENERAL HOSPITAL

COSTS BY REGION AND DISTRICT

REGION	l 	MONTELY COSTS	DISTRICTS
WESTER	M	\$22,810.07	Allegany, Cattaraugus, Chautauqua, Erie, Genesee, Misgara, Orleans, Myoming
LONG I	\$LAND	\$23,111.50	Massau, Suffolk
MEM YO	RK CITY	\$33,925.53	Bronx, Kings, New York, Queens, Richmond
MORTE	eastern	\$21,567.55	Albany, Clinton, Essex, Fulton, Montgomery, Rensselaer, Saratoga, Schenectady, Schoharie, Warren, Washington, Eamilton
MORXE:	METROPOLITAN	\$21,131.98	Columbia, Delaware, Dutchess, Greene, Orange, Putnam, Rockland, Sullivan, Ulster, Westchester,
UTICA		\$21,722.98	Franklin, Otsego, Herkimer, Lewis, Madison, Omeida, Oswego, St. Lawrence, Chenango
CERTRA	ı.	\$25,151.24	Cheming, Schuyler, Steuben, Broome, Cortland, Onondaga, Tioga, Tompkins, Cayuga.
ROCKES	TER	\$27,188.24	Livingston, Monros, Ontario, Seneca, Wayne, Yates.
WATERT		\$16,956.38	Jefferson

^{*} Chart revised using 1996 data.

AVERAGE MONTHLY ICF/DD RATES IN REGION BY DISTRICT

REGION	MONTHLY ART	DISTRICT
REGION I	\$7,892.21	MEN YORK, BRONE, KINGS, QUEENS, RICHMOND
REGION II	\$7,337.41	PUTMAM, ROCKLAND, MASSAU, SUPFOLK WESTCHESTER
REGION III • Chart revised using 1996 data.	\$7,178.03	INCLUDES ALL OTHER COUNTIES IN MYS

COUNTIES AND REGIONS
TO BE USED FOR RUGS

REGION COUNTIES IN REGION

ALBANY, COLUMBIA, GREENE, MONTGOMERY, RENSSELAER, SARATOGA, SCHEMECTADY

SCHOHARIE, FULTOM.

BINGRANTON BROOME, TIOGA

ERIE CATTARAUGUS, CHAUTAUQUA, ERIE, MIAGARA, ORLEAMS

ELMIRA CEEMUNG, STEUBEN, SCHUYLER

GLENS FALLS ESSEX, MARRING MASSINGTON

LONG ISLAND MASSAU, SUFFOLK

ORANGE CHIMANGO, DELAMARE, ORANGE, OTSEGO, SULLIVAM, ULSTER

MEM YORK CITY BROME, KINGS, QUEENS, RICHMOND, MEM YORK

POUGHERRPSIE DUTCHESS, PUTMAN

ROCHESTER LIVINGSTON, MONROE, ONTARIO, WAYNE

CENTRAL RURAL CAYUGA, CORTLAND, SEGRCA, TOMPKINS, YATES

SYRACUSE MADISON, CHOMPAGA

UTICA HERKINER, JEFFERSON, LEWIS, CHEIDA, OSWEGO

WESTCHESTER ROCKLAND, WESTCHESTER

MORTHERN RURAL CLINTON, FRANKLIN, HAMILTON, ST. LAMRENCE

WESTERN RURAL ALLEGAMY, GENESEE, WYCKING

AVERAGE MONTELY COST FOR RUGS | CATEGORY BY REGION

HEALTH RELATED ROGS

	CA.	23	PA	23	
ALBANY	2,726	2,705	2,306	2,928	
BINGHANTON	2,591	2,572	2,210	2,777	
ERIE	2,545	2,526	2,160	2,727	
BLMIRA	2,724	2,709	2,309	2,926	
GLENS FALLS	2,569	2,552	2,181	2,762	
LONG ISLAND	3,629	3,606	3,018	3,947	
ORANGE	2,971	2,949	2,502	3,194	
MEN YORK CITY	3,903	3,879	3,252	4,241	
POUGHKEEPSIE	2,775	2,752	2,353	2,970	
ROCHESTER	2,753	2,729	2,339	2,945	
CENTRAL RURAL	2,526	2,507	2,168	2,699	
SYRACUSE	2,919	2,899	2,484	3,135	
UTICA	2,542	2,525	2,164	2,724	
WESTCHESTER	3,411	3,384	2,866	3,680	
MORTEKRI RURAL	2,343	2,330	1,981	2,520	
WESTERN RURAL	2,364	2,347	2,014	2,526	

AVERAGE MONTELY COST FOR RUGS CATEGORY BY REGION

SKILLED NURSING RUGS

	RA	RB	SA	Sh	CB.	cc	CD CD	13	BC	PC	PD	PE
ALBANY	4,125	4,477	4,031	4,418	3,492	3,715	4,236	3,245	3,600	3,245	3,471	3,455
BINGEANTON	3,869	4,194	3,785	4,135	3,294	3,498	3,974	3,06#	3,395	3,068	3,275	3,630
EXIS	3,819	4,138	3,732	4,090	3,239	3,444	3,918	3,015	3,336	3,015	3,220	3,566
ELMIRA	4,103	4,445	4,003	4,377	3,478	3,702	4,207	3,242	3,586	3,242	3,461	3,833
CLERS FALLS	3,476	4,208	3,786	4,138	3,290	3,499	3,982	3,062	3,394	3,062	3,273	3,635
LONG ISLAND	5,709	6,242	5,570	6,102	4,786	5,119	5,883	4,428	4,959	4,428	4,765	5,348
ORANGE	4,527	4,916	44205	4,854	3,820	4,069	4,647	3,547	3,939	3,547	3,797	4,220
NEW YORK CITY	6,116	6,684	5,964	6,536	5,134	5,489	6,302	4,753	5,317	4,753	5,111	5,732
POUGHERPSIS	4,187	4,548	4,097	4,488	3,551	3,775	4,303	3,299	3,661	3,299	3,520	3,921
	4,124	4,469	4,034	4,428	3,499	3,717	4,232	3,253	3,603	3,253	3,475	3,451
ROCKESTER		•	3,648	3,979	3,185	3,376	3,824	2,972	3,279	2,972	3,167	3,501
CENTRAL EURAL	3,725	4,031	•	4,683	3,728	3,961	4,505	3,470	3,644	3,470	3,700	4,115
SYRACUSE	4,385	4,758	4,288	-	3,228	3,429	3,894	3,009	3,325	3,009	3,210	3,551
UTICA	3,797	4,110	3,709	4,055			5,395	4,098	4,566	4,098	4,395	4,906
Westchester	5,244	5,712	5,124	5,622	4,421	4,713		-	3,097	2,796	2,988	3,314
HORTERN RURAL	35480	3,847	3,461	3,787	3,002	3,198	3,640	2,796			•	3,262
WESTERN RUBAL part revised using 15	3,516 196 data.	3,803	3,436	3,765	2,989	3,175	3,604	2,786	3,076	2,706	2,971	3,242

RUG CATAGORIES

RUG CATEGORY	ABBREVATION				
HEALTH RELATED RUGS					
Clinically Complex A	CA.				
Behavioral A	BA .				
Reduced Physical Functioning A	PA PA				
Reduced Physical Functioning B	23				
SKILLED NURSING ROGS					
Rehabilitation A	DA .				
Rehabilitation B	RB				
Special Care A	82				
Special Care B	\$B				
Clinically Complex 3	CB				
Clinically Complex C	cc				
Clinically Complex D	CD CD				
Behavioral B	33				
Behavioral C	3C				
Reduced Physical Functioning C	PC				
Reduced Physical Functioning D	70				
Reduced Physical Functioning E	72				

AVERAGE HOME MEALTH SERVICES RATES

	COUNTY	NURS YNG	PHYSICAL	SPEECE PATHOLOGY	OCCUPA- TIONAL TEERAPY	HOME HEALTH AIDE PER HOUR	HOME HEALTH AIDE PER VISIT
<u></u>	ALBANY	75.39	71.64	80.20	70.04	19.31 hr	
	ALLEGAMY	54.59	67.95	83.07	0.00	17.11 hr	
	BROOME	60.57	69.25	71.58	69.21	16.06 hr	
	CATTARAUGUS	52.78	79.26	83.07	78.90	22.19 hr	
	CAYUGA	68.78	70.08	73.41	62.01	21.00 hr	
	CHAUTAUQUA	67.27	85.94	03.69	84.87	17.08	
	CHEMICALS	72.99	69.83	74.79	\$6.13	17.27 hr	
	CHEROMOO	62.09	60.86	0.00	0.00	19.28 hr	
	CLINTON	82.37	64.61	78.90	76.49	18.29 hr	
	COLUMBIA	84.96	79.26	83.07	78.90	22.19 br	
	CORTLAND	75.18	55.85	69.54	69.81	17.65 hr	
	DELAMARE	64.46	76.32	77.37	75.96	0.00	30.93
	DUTCHESS	69.66	70.27	70.59	70.08	14.94 hr	45.57
	ERIE	73.45	68.09	57.71	58.19	16.38 hr	48.48
	esei	76.30	71.25	74.67	70.92	17.64 hr	
	PRANTELIN	76.87	77.88	83.07	78.90	18.11 hr	
	FULTON	70.34	72.91	79.37	75.45	19.51 hr	
	CENTSER	70.51	69.45	76.60	61.78	20.46 hr	

^{*} Rates are per visit unless otherwise specified

	COUNTY	MURS ING	PHYSICAL THERAPY	SPRECE PATROLOGY	OCCUPA- TIONAL TREEAPY	HOME HEALTH AIDE PER HOUR	HEALTH AIDH PER VISIT
	GREENS	72.47	69.59	60.04	61.84	16.69 hr	
	HAMILTON	82.44	76.91	0.00	0.00	21.53 hr	
	HERKINGE	43.20	50.45	32.95	40.95	12.96 hr	
	JEFFERSON	66.72	65.27	76.63	57.15	19.01 br	
	LEWIS	67.41	74.35	0.00	0.00	00.00	41.15
	LIVINGSTON	63.28	56.03	76.00	60.96	8.00	39.44
	MADISON	47.81	61.68	52.22	\$5.71	16.56 hr	
	MONTO	74.43	78.06	73.96	73.00	16.49 hr	
	MONTGONERY	71.04	80.84	76.53	79.53	18.40 hr	
	Wassau	91.87	70.11	77.68	74.14	19.88 hr	73.89
	MIAGARA	63.99	61.83	67.90	66.11	0.00 hr	54.14
	CHEIDA	53.86	56.79	51.97	57.36	15.66 hr	
	CRICRIDAGA	62.24	69.22	50.15	53.28	16.72 hr	
	ONTARIO	72.06	76.01	73.69	73.73	19.17 hr	
	ORANGE	76.70	73.92	70.05	66.42	19.14 hr	
	ORLEAMS	79.99	\$6.54	0.00	64.28	18.69 hr	
	OSWEGO	71.98	72.24	62.40	69.60	18.67 hr	
	OTSEGO	65.71	68.82	29.74	28.65	10.84 hr	
* Rates are per visi	PUTRAM t unless otherwise :	94.43 specified * Cha	113.44 rt revised usin	89.25 g 1996 data.	0.00	20.90 hr	

SCHEDULE D PAGE 3

COUNTY	NURSING	PHYSICAL THERAPY	SPEECE PATEOLOGY	OCCUPA- TICHAL TEERAPY	HOME HEALTH AIDE PER HOUR	BOME HEALTH AIDE PER VISIT
REMSSELAER	54.40	61.50	63.38	59.55	18.77 hr	
ROCKLAND	122.39	111.54	105.00	115.20	23.03 hr	
ST. LANKENCE	56.11	66.88	71.15	71.72	19.32 hr	
SARATOGA	75.18	70.56	81.69	75.11	20.31 br	
SCRENECTADY	68.74	67.06	64.98	66.11	19.12 hr	
SCHOHARIE	71.70	59.49	58.46	58.42	18.73 hr	
SCHUYLER	50.55	62.36	72.48	59.32	20.39 hr	
SENECA	80.84	67.44	79.04	75.06	21.11 hr	
STEUBEM	76.53	59.66	75.80	68.03	20.25 hr	
SUFFOLK	94.23	02.03	59.81	70.50	19.06 hr	69.20
SULLIVAN	76.89	75.14	79.14	70.75	21.14 hr	
TIOGA	65.91	67.51	70.76	67.21	10.89	
TOMPKINS	70.31	56.39	63.03	57.31	19.61 hr	
ULSTER	73.82	66.61	67.12	53.10	18.88 hr	46.16
WARREM	53.60	67.65	71.23	68.26	21.21 hr	
WASHINGTON	70.06	66.43	65.00	70.46	20.44 hr	
WAYNE	72.17	67.31	70.56	67.01	18.84 hr	
Westchester	97.31	77.58	82.07	79.11	20.57 hr	56.20
MACHEDIA	84.96	55.00	73.56	78.90	20.61 hr	
YATES	57.39	75.22	0.00	74.87	21.06 hr	
MEN YORK CITY	84.12	72.96	67.82	67.44	15.66 hr	

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ATTACEMENT 6

AVERAGE HOURLY PERSONAL CARE RATES

Chart revised using 1996 data

	RATE	COUNTY	RATE
MANEY	12.52	MIAGARA	12.91
ALL BUNKY	12.86	OMEIDA	12.68
BROOME	13.45	CHICHIDAGA	14.26
CATTARADOUS	12.73	ONTARIO	14.39
CAYDGA	13.67	ORANGE	13.30
CHATTATIQUA	11.07	ORLHAMS	10.60
CERMING	12.92	OSWEGO	13.60
CHERCYRICO	12.30	OTSEGO	12.77
CLIMITON	13.60	PUTNAM	14.16
COLUMBIA	12.61	renssylaer	13.04
CORTLAND	12.94	ROCKLAND	12.92
DELLUCIE	13.90	SARATOGA	13.82
DUTCHES	13.06	SCHEDECTADY	13.31
ERIE	11.67	SCHORARIE	13.07
refer	13.27	SCHUYLER	17.14
PRANCETO	13.07	SENTECA	14.65
PULTON	13.01	STEUDIN	13.52
CENTESEE	11.64	ST . LANKENCE	12.1
OLEDIS	11.00	SUFFOLK	13.00
EMILTON	15.03	SULLIVAN	12.39
EER DER	11.56	TIOGA	13.00
JEFFELSCH	11.01	TOMPKINS	14.61
LDIIS	13.65	ULSTER	13.70
LIVINGSTON	14.09	WARREN	13.50
Madison	17.22	Washington	14.00
MONTROE	14.01	WAYNE	14.5
PIONAL GOVERNA	12.99	WESTCHESTER	13.2
MASSAU	13.02	WYCHING YATES	12.80 16.6

(MODEL)

AGREEMENT FOR HOSPICE REFERRAL

		Beiw	Yes		
		COUNT		OF SOCIAL SEE	wices
This a Social at	greement is b Services	etween the	its	County De	partment of office
established	under Article	and 40 of the Pub	lic Health L	aw, having it	a hospica s principal
		WITNES	SPIE		
services d	, according to istrict must he notes that the district	Social Service ave a written a and,	ms Law 367-k agræsment wi	and 505.14, o	each social ce which is
WHEREAS, and referri	, such ag ng Medical Ass	reement must istance (MA) re	contain pr scipients to	rocedures for any such hosp	r notifying ice,
NOW, TE Social Serve "HOSPICE"),	EREFORE, the Magree that:	DISTRICT"), e	and	County Depa	rtment of, (the
FIRST: function as	The DISTRIC Liaison be	T must design tween the DIS	nate a DIS STRICT and 1	RICT represe the HOSPICE na	ntative to med in this

SPCOND: The DISTRICT must identify those MA recipients it reasonably expects are appropriate for hospice services. Such identification shall be based on the recipient's medical diagnosis and prognosis as determined and verified by the recipient's primary physician on forms utilized in the DISTRICT's personal care services program.

THIRD: The DISTRICT must, unless medically contraindicated by the recipient's physician, notify the recipient, and/or the recipient's primary caregiver when appropriate, of the availability of hospice services.

FOURIH: When the recipient, or the recipient's primary caregiver, agrees to a referral to the HOSPICE, the DISTRICT will initiate the referral.

FIFTH: The referral must contain:

- A. The name and address of the recipient and the recipient's primary caregiver, if any, and;
- B. A copy of the physician's order.

SIMIH: The HOSPICE must conduct an assessment based on the rules and regulations of its governing body and in accordance with Department of Health regulations.

SEVENIH: The HOSPICE must, following the assessment, inform the DISTRICT of the results of the assessment.

FIGHH: The responsibility for case management of any recipients eligible for and accepted into the hospice program must be assumed and retained by the HOSPICE.

NINIH: If, following acceptance into the hospice program, the recipient's needs are such that supplemental services may be provided under the MA program, the HOSPICE and the DISTRICT will complete a joint assessment. Should such supplemental services be appropriate, the HOSPICE must continue to retain case management responsibility.

IN WITNESS WHEREOF, the parties have executed this Agreement.

	DEPARIMENT OF SOCIAL SERVICES
DATE:	BY:
DATE:	BY:

Pert		ion A. or B. has not been completed, this form ed to the physician.						
Secti	ion A.							
	I do not believe the diminish as a result	at the patient's ability to perform ADIs would t of the patients placement in a RHCF						
Secti	ion B.							
	I do believe the patient's ability to perform the following ADIS would diminish as a result of his/her placement in a RHCF							
	ACTIVITY OF DAILY LIVING (ADL)	DESCRIPTION OF THE IMPACT OF PLACEMENT ON EACH ACTIVITY OF DAILY LIVING (ADL)						
	EATING/DRINKING							
	TOTIFITING							
	TURNING/POSITIONING							
	MOBILITY							
	TRANSFERRING							
	BATHING							
	CROOMING DRESSING							
Note: (If additional space is needed to describe the impact of RHCF placement on the patient's ADIs, please submit as an attachment.) Physician's Cartification Statement:								
I certify that in my professional judgment, the information provided above is an accurate description of the impact of residential health care facility placement on this patient's ability to perform the activities of daily living. I understand that this certification statement is subject to the New York State Department of Social Services Regulations at Parts 515, 516, 517 and 518 of Title 18 NYCRR, which permit the Department to impose constary penalties on, or sanction and recover overpayments from providers or prescribers of medical care, services or supplies, when medical care, services or supplies that are unnecessary, improper or exceed the patient's documented medical condition are provided or ordered.								
Signed:	:	Date:						

Physician's Certification Form: Request for an Exception to Receive Private Duty Nursing Services Based on the Impact of Institutionalization on the Patient's Functioning

Part I: Patient Information	
Patient Name:	D.O.B
Address: (Street/Apt. #)	Sex:
(City, State, Zip Code)	
Part II: Physician Information	
Physician Name:	Phone:
(Print or Type) Clinic/Hospital (if applicable):	
Bus. Address:(Street)	
(City, State, Zip Code) License #: MMIS Billing #:	

Instructions to the Physician:

If you believe the above named patient's placement in an RHCF would result in the diminishment of the patient's ability to perform the activities of daily living (ADLs), complete the Physician Information Section above and Part III., B. on the back-side of this form. You must check each ADL in III., B. that would be diminished AND indicate the impact of the RHCF placement on each ADL checked in order for your opinion to be considered. If you do not believe RHCF placement would diminish the patient's ability to perform the activities of daily living, check the statement in Part III., A. that is located on the back of this form.

After completing Parts II. and III., sign the certification statement located on the back side of this form, and return to the Certified Home Health Agency in the enclosed envelope. If you have indicated that the patient's ability to perform ADIs would diminish as a result of RECF placement, this form will be forwarded to the RECF for their review.

COUNTY	E-Prist	LPH PREMIUM	TOT .	rn Princium
	19.60	••••	24.75	****
OTSEGO		****	29.00	****
MALL	24.00	22.00	25.00	26.00
rent seller	20.00		23.00	28.00
NOCKLAND	19.00	21.00		28.00
ST. LAMBERCE	15.75	****	22.10	
elratoga	20.00	23.00	24.00	27.00
SCHEETADY	21.00	23.00	25.00	28.00
SCHOULRIE	19.00	22.00	24.00	26.00
SCHUYLER	22.00	23.00	26.00	29.00
SEDIECA	20.00	23.67	25.00	27.50
STEURES	20.00	23.00	25.00	*****
SUFFOLE	21.00	31.00	27.00	35.00
SULLIVAN	20.50	26.00	24.50	30.00
TIOGA	22.25	****	25.50	*****
TOMPETER	20.00	****	24.00	29.00
ULSTER	20.00	****	23.50	****
MARRIE!	19.90	****	23.00	*****
WARRINGTON	19.00	****	23.00	****
	19.97	*****	24.00	****
MAYNE	24.00	28.00	30.00	32.00
WESTCHESTER		40.00	24.00	*****
ALACINETING	19.00		23.50	28.00
YXTES	20.00	24.00	43.30	20.00

[.] Chart revised using 1996 data.

ATTACEMENT 6
| SCHEDULE F

ATTACEMENT 6 SCHEDULE F PAGE 1

AVERAGE BOURLY PRIVATE DUTY MURSING PEES

COCINTY	LPH	LPM PRINCEUM	ROF	PRINCION
ALBANY	19.00	21.00	23.00	25.00
ALLEGAMY	20.00	21.53	25.00	25.00
BROOME	19.00	22.06	23.00	27.00
CATTARADGOS	21.00	24.00	26.00	29.00
CAYDGA	21.00	24.00	25.00	20.00
CHAUTADQUA	20.16	****	25.43	****
CHERICAGO	18.00		23.00	28.00
CEEDIANGO	19.00	22.00	23.00	26.00
CLINTON	17.24	*****	22.00	*****
COLUMBIA	20.00	24.00	24.75	27.00
CORTLAND	20.00	*****	23.00	****
DELLMARE	20.00	23.00	24.00	*****
DUTCHESS	21.70	*****	26.40	****
KNIE	19.39	24.00	25.40	29.00
Berez	12.00	*****	16.00	****
PRANKLINE	15.75	*****	22.10	****
FULTON	19.33	22.50	23.50	27.50
CDILLE	19.00	24.00	23.45	29.00
CREME	21.00	****	24.50	****
EAMILTON	••••	****	••••	*****
EDIDOR	20.00	23.00	24.00	27.08
JETTERSON	18.00	22.00	20.00	25.00
LIMIS	20.00	22.00	24.00	****
LIVINGSTON	20.00	25.00	22.00	26.50
MADISON	19.00	22.00	23.00	25.00
MONTHOE	20.26	25.17	24.30	30.40
MONTOCHERY	19.00	21.00	23.00	25.00
MASSAU	21.00	27.00	26.00	33.00
HEN YORK	23.00	****	27.00	****
MINGARA	19.00	****	24.00	*****
CMEIDA	21.00	23.00	25.00	28.00
CHICHEDAGA	19.50	22.50	22.50	27.00
ONTABLO	21.00	24.00	25.00	30.00
ORANGE	23.50	*****	28.00	*****
ORLEANS	19.00	24.00	22.00	29.00
ОВПОО	21.00	24.00		
	41.00	44.00	25.00	28.00